



TRADING AGENT INFORMATION FORM

GENERAL INFORMATION

Firm/Individual Name: _____

Name of Contact: _____

Date of Incorporation: ____/____/____ Origin of Formation: _____

CONTACT INFORMATION

Street Address: _____ Apt. / Suite: _____

City: _____ Country: _____ Postal: _____

Phone (Business): _____ Phone (Fax): _____

Website: _____ Nature of Business: _____

E-mail: _____

BANK INFORMATION

Bank Name: _____

Bank Address: _____

Account #: _____ ABA or SWIFT: _____

Beneficiary Name: _____



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To better assist us in serving the needs of our Clients and their Trading Agents, we request certain information about your business. Please assist us by providing the information requested below. Please circle a response to "Yes / No" questions. If certain items do not apply, please mark those items "N/A," sign and date the form.

PLEASE PRINT OR TYPE

1. Firm/Individual Name: _____

2. Date of Birth (Individual or principles of the Firm authorized to trade):

3. What type of entity is your firm:

4. How many offices do you have? _____ Please list location for each branch.

5. Please list key employees and areas of responsibility:

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6. Are you registered with any regulatory authorities? If so, please list and provide any regulatory identification numbers.



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7. Number of salesmen associated with the entity? _____

8. Number of accounts: _____. How many of these accounts are (please provide percentages, with each "vs." totaling 100%; so, for example, 90% speculative vs. 10% hedge):

____ Speculative vs. ____ Hedge
____ Retail vs. ____ Institutional
____ Self-directed vs. ____ Managed
____ Proprietary vs. ____ Customer

9. Is the rm actively soliciting new customers? Yes / No. If yes, what countries does your rm primarily solicit? If so, where?

10. If soliciting, how do you solicit?

* Does the rm utilize lead lists? Yes / No. Are they purchased or provided free of charge? What entities are lead lists obtained from? How does this entity compile leads?

* Do you use promotional material? Yes / No. What types? (Print ads, TV / radio, newsletters, direct mail); other?

11. How many customer complaints have you received in the past two years? ____ What types of complaints were received (what was each customer alleging)?

12. Has the rm received any customer complaints that allege excessive trading in discretionary accounts? Yes /No. Any complaints that allege unauthorized trading? Yes / No.

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13. Generally describe below and attach hereto documentation regarding any and all disciplinary actions taken by any regulatory agencies.

I hereby swear or affirm that the information provided herein is known to be true or, based on my best information, is believed to be true.

Printed Name

Date

Title

Signature

Firm

TRADING AGENT INFORMATION FORM

GENERAL INFORMATION

I, _____, the undersigned and hereinafter referred to as the "Trading Agent" do hereby agree to the terms and conditions set forth below applicable to all client accounts I manage TRADEVIEW LTD. (TRADEVIEW) through a Limited Power of Attorney.

Commissions charged by the Trading Agent will be calculated on a 'round turn' basis. Payment of such commissions will be made to the Trading Agent within 5 business days following the end of each month, unless otherwise agreed upon, for all lots closed by 5 pm EST on the last trading day of a calendar month. Any commissions due on open positions will carry forward to the subsequent month. Prot Sharing will be calculated and paid on a net high watermark basis at the rate agreed upon by the client and Trading Agent within the executed Limited Power of Attorney.

Any Trading Agent who utilizes the Multi Account Manager available on all MetaTrader platforms in their trading activity at TRADEVIEW will be charged a usage fee of \$.15 per round turn (10,000) on all foreign exchange transactions. TRADEVIEW will calculate the total usage fees at month end and the Trading Agent will be issued an invoice for the total cost. All usage fees due to TRADEVIEW shall be debited from any commissions and/or prot sharing due to Trading Agent and shall be debited prior to the issuing of payment to Trading Agent. Should the debiting of usage fees result in a negative equity balance such balance will carry forward and be included in the subsequent month's calculation. At no time shall Trading Agent be issued payment of commissions and/or prot sharing prior to TRADEVIEW collecting all usage fees due.

TRADEVIEW diligently supervises and monitors the activities of all managers acting as Trading Agents. As a result, TRADEVIEW, in its sole discretion, shall monitor the activities of the Trading Agent to ensure the prevention of misconduct, which shall include, but not be limited too, unauthorized trading, fraud, mismanagement, breach of fiduciary duty and churning. For purposes of this subparagraph 'churning' is defined as any form of excessive trading being conducted for the purposes of increasing Trading Agent's commissions, rather than to further the customer's investment goals. Upon the finding of misconduct, as reasonably determined by TRADEVIEW, any and all commissions, fees and/or prot sharing due to the Trading Agent maybe withheld in escrow for a period not to exceed six (6) months. Withheld funds can and will be used to satisfy any customer claims received as a result of misconduct on the part of the Trading Agent. The Trading Agent has a duty to inform TRADEVIEW of any customer complaints and/or claims. If, after a six (6) month period, no claims have been received by TRADEVIEW or Trading Agent, the funds will then be paid to Trading Agent. Should a claim be received prior to expiration of the six (6) month holding period, such a claim will be assessed by the Legal & Compliance Department of TRADEVIEW for validity, such a process may result in funds being held longer than six (6) months. Trading Agent indemnifies and holds TRADEVIEW, its affiliates, employees, agents, successors and assigns harmless from and against any and all liabilities, losses, damages, costs and expenses, including attorney's fees, incurred as a result of commissions, fees and/or prot sharing withholdings due to a finding by TRADEVIEW of misconduct by the Trading Agent and receipt of a customer claim against TRADEVIEW and/or Trading Agent prior to the expiration of the six (6) month withholding period. TRADEVIEW shall not be held liable or responsible for any miscalculation of any fees, commissions, or prot-sharing.



TRADING AGENT INFORMATION FORM

TRADEVIEW may revoke or terminate the Trading Agent's trading authority over a Client's account at any time for any reason in its sole discretion. In the event TRADEVIEW terminates the Trading Agent's trading authorization over the Client's account, TRADEVIEW will provide written notice to both the Client and the Trading Agent.

Trading Agent certifies that he/she/they understand and agree to all terms and conditions of the foregoing Trading Agent Agreement.

Trading Agent's Name

Trading Agent's Signature

Date

PHONE:	North America	+1 345 945 6271
	Asia - Hong Kong	+ 85 281 990 224
FAX:	North America	+ 1 514 316 7383